



PROFESSIONAL INDEMNITY

PROPOSAL FORM

construction professionals

PLEASE READ THE FOLLOWING BEFORE

COMPLETING THIS PROPOSAL FORM:

TO PRESENT A CLEAR AND UNAMBIGUOUS PICTURE AND TO ENSURE THAT UNDERWRITERS UNDERSTAND THE NATURE OF YOUR RISK:

- * ALL QUESTIONS SHOULD BE COMPLETED IN INK.**

- * WHERE A QUESTION IS NOT APPLICABLE TO YOUR PARTICULAR CIRCUMSTANCES, PLEASE WRITE 'N/A'.**

- * PLEASE TICK THE YES OR NO BOXES.**

- * IF THERE IS INSUFFICIENT SPACE TO ANSWER QUESTIONS PLEASE USE AN ADDITIONAL SHEET AND ATTACH IT TO THIS PROPOSAL FORM.**

- * COMPLETING AND SIGNING THIS PROPOSAL FORM DOES NOT BIND THE PROPOSER OR INSURERS TO COMPLETE THIS INSURANCE.**

- * IF THIS PROPOSAL RELATES TO A NEW BUSINESS OR VENTURE, PLEASE COMPLETE THE QUESTIONS AS FAR AS POSSIBLE, GIVING ESTIMATED OR ANTICIPATED INFORMATION.**

b) Names of Consultants regularly used	Age and Qualifications	Date Qualified	Number of years Practical Experience
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PLEASE ATTACH DETAILED C.V.'S, IF NO RELEVANT QUALIFICATIONS

c) Do you require cover for past Partners, Principals or Directors?
If yes, please provide details **YES/NO**

3a) Is any Individual or the Firm admitted to any Association or Trade Body?
If yes, please give details. **YES/NO**

b) Has any person been the subject of disciplinary proceedings by any professional body?
If yes, please give details. **YES/NO**

4 Please state the total number of Partners, Principals or Directors:

Qualified Staff:

Consultants:

5a) If you are a sole practitioner, please give details of arrangements made in the event of sickness or holiday.

b) Is this a Part-time occupation?
If yes, please give brief details of your present full-time work. **YES/NO**

6a) Have there been any major changes in the activities undertaken during the past twelve months or are any likely to take place in the next twelve months? **YES/NO**
 If yes, please give details.

b) Is cover required for any activity, now ceased, which is different from those declared, within this proposal form? **YES/NO**
 If yes, please give details.

7. Please list by activity the approximate percentage of work carried out in each instance:

Architecture	%	Electrical Engineering	%
Civil Engineering	%	Landscape Architecture	%
Soil Engineering	%	H.V.A.C. Engineering	%
Structural Engineering	%	Planning/Feasibility	%
Project Manager	%	Refrigeration Engineering	%
Project Co-ordinator	%	Non-Structural Space Planning	%
Nuclear Engineering	%	Chemical Engineering	%
Mechanical Engineering	%	Surveying (Land, Quantity, Building)	%
Interior Design or Non-Structural Refurbishment	%	Planning Supervisor	%
Principal Designer or Project Supervisor, responsible for Health & Safety	%	Other (please specify)	%

**IF INVOLVED IN CDM OR PSDP DUTIES,
 PLEASE COMPLETE THE SEPARATE QUESTIONNAIRE**

8. Please indicate to what structures your activities extend

Individual Dwellings	%	Hospitals, Nursing Homes	%
Roads, Highways	%	Refineries and Petro-Chemical	%
Low Rise Multiple Dwellings	%	Hotels and Leisure Centres	%
Bridges, Tunnels and Dams	%	Manufacturing Plants	%
High Rise Multiple Dwellings	%	Schools and Universities	%
Railways, Airports, Harbours and Jetties	%	Industrial Building Systems	%
Modular Dwellings (Repetitive)	%	Retail/Business Parks	%
Commercial Offices/Shopping Centres	%	Aborted Projects	%
Water Schemes, Sewerage	%	Other (please specify)	%
Power Plants	%		

9a) Please state the gross fees received for each of the last three financial years billed to clients and an estimate for the next twelve months or an estimate, if you have not yet traded.

Year	U.K.	Worldwide ex USA/Canada	USA/Canada
20	Fees		
20	Fees		
20	Fees		
Estimate			
20	Fees		
Financial Year ends:	(Month)		

b) What percentage of fees is paid to sub-contractors or consultants? %

10a) Please list the three largest contracts undertaken in the last three years:

i)

ii)

iii)

b) What is the largest annual income earned from a single client in the last twelve months?

In the case of Overseas contracts, please list the countries involved and whether U K or local law applies. Also, please give brief details of the contract(s) and size.

11 Please provide a description of your business activities in your own words including any specialisations, clarify the type of work normally carried out, whether consisting of well-established techniques or the nature of new and original-thought developments, processes or design employed. State whether and what licensing or similar agreements are in force and the degree to which supervision of them is exercised. Also, please state if the end product of your work is critical to the continued process of any of your clients' systems, failure of which could result in pecuniary loss to them.

12 Have you, at any time, entered into a contract signed under seal or signed a collateral warranty?

YES/NO

If yes, please give details

13 Have you, **at any time**

a) engaged in contracts involving prototype construction or materials? **YES/NO**

b) specified or given advice in connection with EPS (expanded polystyrene) or polyurethane core sandwich panels **YES/NO**

c) designed, specified or given advice in connection with cladding, glazing or curtain walling and/or fixings? **YES/NO**

If yes, please give full details

14 Do you have liability within the Construction (Design & Management) Regulations, as a CDM Co-ordinator, Planning Supervisor, or as a Designer, or Project Supervisor for the Design Process (in Ireland)? **YES/NO**

IF YES, PLEASE COMPLETE THE SEPARATE CDM/PSDP QUESTIONNAIRE

15a) When Professional Sub-contractors or Specialist Consultants are engaged, have you, in the past, and will you in the future endeavour to ensure that they are appointed directly by and paid by the client? **YES/NO**

b) Have you and will you ensure that such persons or firms have entered into a binding contract accepting full responsibility for their own Professional neglect, error or omission and that they carry and maintain in force Professional Indemnity insurance? **YES/NO**

IT IS A CONDITION OF THIS POLICY THAT P I INSURANCE IS MAINTAINED BY THIRD PARTY PROFESSIONALS, TO ALLOW FOR SUBROGATION, IN THE EVENT OF A CLAIM

16a) If you are a member of a consortium or have entered into a joint-venture agreement, please give details.

b) Do you undertake work for or are you associated, either by shareholding or official position, with any company/organisation, where you are in a position to make major decisions? **YES/NO**
If yes, please give details.

c) Have any of the Partners, Principals or Directors been a Partner, Principal or Director or been associated with any business that has ceased trading, either voluntarily or compulsorily? **YES/NO**
If yes, please give details

17 Do you wish to consider any of the following extensions?

Loss of Documents **YES/NO**

Unintentional Breach of Confidentiality **YES/NO**

Libel & Slander **YES/NO**

Unintentional Breach of Copyright **YES/NO**

Dishonesty of Employees **YES/NO**

Claims arising from Associated Companies **YES/NO**

18 Do you currently have Professional Indemnity insurance? **YES/NO**
If yes, please give details.

Expiry Date:

Limit:

Excess:

Insurer:

19 Have you ever had any Professional Indemnity insurance cancelled, declined or only written at special terms? **YES/NO**

If yes, please give details.

20 Please state: limit of indemnity required

: self insured excess

21a) Do you always require satisfactory written references when engaging employees? **YES/NO**

b) Is any Partner, Principal, Director or Employee allowed to sign cheques on their sole signature?

YES/NO

If yes, please give details

c) How often are employees who receive cash or cheques, during the course of their duties, required to pay these in?

d) How often are checks carried out on all entries in cash books, with all paying-in books, receipts, counterfoils and vouchers being reconciled with bank statements, including the balance of cash and unrepresented cheques, independently of employees receiving or banking monies, belonging to the Firm or in trust, on behalf of others?

22 Have you EVER had any claims made against you or know of any circumstances that could or would have resulted in a claim, if cover had been in force? YES/NO
If yes, please give full details.

C D M/ P S D P QUESTIONNAIRE

**TO BE ANSWERED BY ANY INDIVIDUAL OR FIRM APPOINTED AS
THE PRINCIPAL DESIGNER OR PROJECT SUPERVISOR**

1a) Have you been appointed as the Principal Designer, where you could be liable under the Construction (Design and Management) Regulations, or have you previously acted a CDM Co-ordinator or a Planning Supervisor or Project Supervisor for the Design Process (in Ireland)? **YES/NO**

b) If you were ever appointed as both, did you ensure that you had separate appointments, in view of the possible conflict of interest? **YES/NO**

2 Please describe the services that you intend to offer in this connection

3a) Have these tasks been allotted to specific individuals? **YES/NO**

b) If yes, what steps have been taken to ensure that any individuals, undertaking the role of Principal Designer or Project Supervisor, are adequately experienced in relevant health and safety aspects?

c) Please confirm that individuals, who have or will act as Principal Designer or Project Supervisor:

- i) are a design professional **YES/NO**
- ii) have attended or will attend specific Health & Safety courses? **YES/NO**

If yes, please give details and advise if they have or will become certificated.

If not, please explain how they are qualified to undertake the task.

4a) Do you ensure that you are appointed at the outset of a contract and that the client and all sub-contractors/consultants are fully aware of their duty under the CDM or PSDP Regulations?

YES/NO

If not, please give details.

b) Please confirm that you have never been appointed **after** tender stage of a contract or after construction is under way?

YES/NO

If yes, please give details

5 Have there been any occasions where you have become aware that there is no health and safety file for on-site utilities?

YES/NO

If yes, please provide details

6 Have you been involved in any projects where there has been a serious delay in completing health and safety files or assessing plans, on behalf of a client?

YES/NO

If yes, please give full details

FAÇADE WALL PANELLING QUESTIONNAIRE

1. Are you now, or have you ever been involved in any activities, in any capacity, with any construction projects which have ever involved the use of ACM cladding (Aluminium Composite Material Rainscreen Cladding) or High Pressure Laminate (HPL) cladding systems?

This work could also be undertaken as a sub-consultant or sub-contractor to other parties, as well as any direct contract appointment. Activities can include, but are not limited to:

Design

Project management

Project co-ordination

Project supervision

Material specification

Structural Surveying

Certification of completed work

YES/NO

2. If the answer to question 1. above is “yes”, please enter details below for all contracts where you had or currently have any involvement (please provide any further details separately):

2a) Project Name:..... Total Contract Value: £.....

Client Name:..... Cladding Contract Value: £.....

Your role:..... Completion Date:.....

2b) Project Name:..... Total Contract Value: £.....

Client Name:..... Cladding Contract Value: £.....

Your role:..... Completion Date:.....

2c) Project Name:..... Total Contract Value: £.....

Client Name:..... Cladding Contract Value: £.....

Your role:..... Completion Date:.....

3. Where your involvement in any of the projects listed in Question 2 included any material specification for ACM or HPL cladding, please list which materials were specified by you or by those acting on your behalf:

Project Name	ACM/HPL Materials used/specified	ACM	HPL
		Compatible with BS 476-22, BS476-4 or Class A1 to EN13501-1 standards?	Compatible with Class A1 or A2-S1 Standards?
1.	YES/NO	YES/NO
2.	YES/NO	YES/NO
3.	YES/NO	YES/NO
4.	YES/NO	YES/NO
5.	YES/NO	YES/NO
6.	YES/NO	YES/NO

(If necessary, please provide the full list of additional projects on a separate sheet)

4. Have you had any enquiries from either current or former Employees or Principals regarding the use of materials listed in Question 3? If "Yes" please provide full details below.

YES/NO

5. If you have answered "Yes" to Question 1, but are unable to provide any details relating to former projects for which you provided any services, please provide an explanation below:

6. Are there any other pertinent facts relating to the above questions that you feel should be brought to the attention of Insurers in connection with any past or current projects on which you have had an involvement, or in relation to the materials mentioned in Question 3, and any comments relating to a "No" answer to Question 4 above?