

INCOMING PARTNER(S)/DIRECTOR(S) OR EMPLOYEE(S) QUESTIONNAIRE

TO BE COMPLETED BY EACH INCOMING PARTNER, DIRECTOR OR EMPLOYEE

1 If you are seeking cover in respect of your activities prior to joining the Firm(s), please advise the reason for seeking cover.

2 Is there a policy in existence covering the activities of the previous Firm(s)? **YES/NO**

If yes, are you insured by such a policy? **YES/NO**

If no, please advise reasons for cover exclusion in relation to yourself.

3 Please describe your reason for leaving the previous Firm.

4 Please advise the amount of fees generated by you during your time at the previous Firm, in the past 3 years.

20	£
20	£
20	£

5 During the past 10 years, have you become aware of any claim against the previous Firm(s), arising out of services undertaken by you, whilst acting on behalf of the previous Firm(s)?

YES/NO

If yes, please advise details, including amounts settled or reserved and, if settled, date of settlement.

6 During the past 10 years have you become aware of any circumstance(s), which may give rise to to a claim against the previous Firm(s), arising out of the services undertaken by you, whilst acting on behalf of the previous Firm(s)?

YES/NO

If yes, please advise details of circumstances and amounts claimed, if known.

Signed:

Name:

Dated: