

INVESTMENT ADVICE QUESTIONNAIRE

TO BE COMPLETED BY FIRMS THAT GIVE ANY INVESTMENT ADVICE

Please list the approximate percentage of the Firm's income, in the last financial year, from::

Dealing in listed U K Securities	%
Dealing in unlisted U K Securities	%
Dealing in Foreign Securities	%
Dealing in Bonds:	
U K	%
Offshore (including Channel Islands and Isle of Man):	%
Investments in Unit Trusts:	
U K:	%
Offshore (including Channel Islands and Isle of Man):	%
Dealing in Commodities (including Futures)	%
Investment in Tangibles (coins, gems etc)	%
Property	%
Private Client Portfolio Management:	
Discretionary:	%
Non-discretionary:	%
PLEASE PROVIDE A COPY OF THE CONTRACT	
Split Capital Investment Trusts	%
PLEASE COMPLETE SEPARATE QUESTIONNAIRE	
Institutional Fund Management	%
Corporate Finance	%
Mergers & Acquisitions	%
Mortgages	%
Other (please specify)	%

**IF LOW COST ENDOWMENT MORTGAGES HAVE EVER BEEN RECOMMENDED,
PLEASE REQUEST SEPARATE QUESTIONNAIRE, FOR COMPLETION**

Signed:

Dated: