

INVESTMENT AGENCY QUESTIONNAIRE

TO BE ANSWERED BY ANY INDIVIDUAL OR FIRM  
 ACTING AS AN INVESTMENT AGENT

1 Please describe the services that you offer or intend to offer in this connection, by approximate percentage:

Investment property sales	%
Identification, selection and site-finding of property or land, on behalf of clients, for their investment portfolio	%
Property or land acquisition	%
Written or verbal advice, on the likely investment return, from acquisition, development or sale of such property or land	%
Recommend, arrange or undertake remedial work of the property or land, before or after purchase by clients	%
Arrange or undertake refurbishment or maintenance of the property or land, before or after purchase by clients	%
Investment property management	%
Any other activity (please describe in full)	%

2 Please provide **full** details of the five largest transactions undertaken, in respect of your work detailed above in connection with likely investment return, in the last three years:

3 In connection with identification, selection and site-finding of property or land, on behalf of clients for their investment portfolio, by you, or in respect of property or land acquisition:

i) Do you or have you **ever** negotiated the acquisition of property or land, on behalf of a client? **YES/NO**

ii) Do you always ensure that the client makes the final decision regarding the purchase of the property or land identified? **YES/NO**

4a) Do you use disclaimers, when providing property investment advice **YES/NO**

If yes, please provide a copy of such

b) If you do **not** use standard disclaimers:

i) Please advise the reasons these are not used, and

ii) How you highlight, to a client, any issues with the property or land that you believe need to or should be addressed

c) If you believe there is a need for a client to seek specialist advice, do you or have you **ever** recommended or engaged a specialist consultant/contractor, for your client? **YES/NO**

If yes, please give details

5 Do you or have you **ever** been engaged to design a property or its environs or undertake a feasibility study, for clients, for investment purposes? **YES/NO**

If yes, please give full details

6a) If a client requires finance, is a separate financial institution valuation arranged, through a panel valuer? **YES/NO**

b) Do you or have you **ever** arranged this service? **YES/NO**

7 Do you or have you **ever** arranged finance for a client? **YES/NO**

If yes, please give full details

Signed:

Dated: