

PENSION TRUSTEES QUESTIONNAIRE

**TO BE COMPLETED BY ANY PROPOSER ACTING AS A TRUSTEE
FOR A CLIENT'S PENSION FUND**

1 List the names of each fund:

- i)
- ii)
- iii)
- iv)
- v)
- vi)
- viii)
- ix)
- x)

2 Please detail the total value of each fund, for which the Proposer acts as trustee, at the end of their last financial year:

- i)
- ii)
- iii)
- iv)
- v)
- vi)
- viii)
- ix)
- x)

3 Is advice taken partly or in whole from outside investment advisers or are all investment decisions made by the trustees themselves? **YES/NO**
Please explain fully

4 Do the trustees receive an additional remuneration from their clients, as trustees, apart from their normal brokerage, commission or fees, derived from placing and servicing the client's business?
YES/NO

If yes, please give details.

5 Do you act purely as trustee for insured funds
If no, please explain
YES/NO

6 Have you **ever** acted as sole trustee of any fund?
If yes, please provide full details
YES/NO

7a) Have any mergers, take-overs or significant re-organisations taken place for any of the clients, for whom you are acting as Pension Fund trustee, which could affect the scheme?
YES/NO

If yes, please give details

b) Do any of the schemes for which you act as Pension Fund trustee accept liability for benefits for the majority of members of any previous pension arrangement?
If yes, please give full details
YES/NO

Signed:

Dated: